



414 Rec'd PCT/PTO 19 JAN 2001

Docket No. 4512/00004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5000

**APPLICANT:** Nuyen et al. **EXAMINER:** Unassigned  
**SERIAL NO.:** 09/622,433 **GROUP:** Unassigned  
**FILED:** 16 August 2000  
**FOR:** Pharmaceutical Formulation of a Didemnol Compound

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicant herewith submits the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- X (1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or
- (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and the requisite Certification or fee under Rule 1.17(p), namely \$240.00, is included herein; or
- (3) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the

IDS  
U.S.S.N. 09/622,433  
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attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

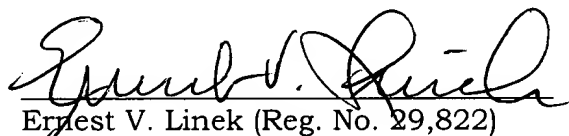
  X   are enclosed herewith; or  
       are in the file of related application Serial No. 00/000,000, filed  
\_\_\_\_\_, and are thus not being resubmitted  
herein.

Early examination and allowance of the present application are respectfully solicited.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 19-0733. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



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Date: 16 January 2001



USPTO Form 1449  
Patent and Trademark Office

U.S. Department of Commerce

INFORMATION DISCLOSURE  
CITATION

Attorney Docket No.  
12/00004

Serial No.  
09/622,433

Applicant(s): Nuyen et al.

Sheet 1 of 1

Filing Date: 16 August 2000

Group:

U.S. PATENT DOCUMENTS

Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	AA	5,462,726	10/31/95	Lodge	514	558	
	AB	4,670,262	06/02/87	Battelli et al.	424	131	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Class	Subclass	Translation	
							YES	NO
	BA	0 048 149	03/24/82	EPO				X

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

\*\*Copies of references not provided at the time of this submission.